

# Thermal Management in Patients Undergoing Elective Spinal Surgery in Prone Position – a Prospective Randomized Trial

Teplotní management u pacientů podstupujících plánovaný spondylochirurgický výkon v pronační poloze – prospektivní randomizovaná studie

## Abstract

**Background:** Perioperative hypothermia is a common complication of general anesthesia and surgery. The hypothesis that the new self-warming blanket (Barrier® EasyWarm®, Mölnlycke Health Care, Gothenburg, Sweden) is better at reducing the incidence of perioperative hypothermia in spinal surgery compared to passive insulation techniques, was tested. **Methods:** In this prospective randomized study, 100 patients with American Society of Anesthesiologists physical status I–III who were scheduled to undergo spinal surgical procedures with an expected duration of surgery < 2 hours were enrolled. Patients were assigned to either the control group that received standard perioperative care, or to the group that received preoperative, intraoperative and postoperative use of the active self-warming blanket. The recorded measures included preoperative and postoperative axillary temperatures, intraoperative esophageal temperatures, duration of surgery, blood loss, hemodynamic instability, postoperative shivering, thermal comfort satisfaction, wound complications, and hospital days. **Results:** The axillary body temperatures were not different at baseline but were significantly lower in the control group at the time of departure to the operating theater ( $36.0 \pm 0.5$  vs.  $36.3 \pm 0.4$ ;  $P = 0.0086$ ). Patients in the self-warming blanket group had higher esophageal temperatures intraoperatively, higher axillary temperatures in the recovery room, and fewer episodes of postoperative shivering (1/46 vs. 8/46;  $P = 0.0352$ ). No significant differences were observed in other recorded measures. **Conclusion:** The use of the active self-warming blanket provided more satisfactory body temperature control and reduced the number of episodes of postoperative shivering.

## Shrnutí

**Cíl:** Perioperační hypotermie je běžnou komplikací celkové anestézie a operačních výkonů. V této studii byla testována hypotéza, že použití nové samozahřívací přikrývky (Barrier® EasyWarm®, Mölnlycke Health Care, Gothenburg, Švédsko) sníží výskyt perioperační hypotermie u spinální chirurgie ve srovnání s pasivními metodami prevence hypotermie. **Soubor a metody:** Do prospektivní randomizované studie bylo zařazeno 100 pacientů klasifikace I–III dle American Society of Anesthesiologists plánovaných ke spondylochirurgickému výkonu s očekávanou délkou operace < 2 h. Pacienti byli randomizováni buď do kontrolní skupiny se standardní perioperační péčí nebo do skupiny využívající předoperačně, peroperačně a pooperačně aktivní samozahřívací přikrývku. Zaznamenávané údaje zahrnovaly předoperační a pooperační axilární teplotu, peroperační jícnovou teplotu, délku operačního výkonu, velikost krevní ztráty, přítomnost oběhové nestability, výskyt pooperačního svalového třesu, tepelný komfort nemocných, výskyt raných komplikací a délku hospitalizace. **Výsledky:** Bazální axilární teploty nebyly odlišné, ale v době odjezdu na operační sál měli nemocní v kontrolní skupině signifikantně nižší teplotu ( $36,0 \pm 0,5$  vs.  $36,3 \pm 0,4$ ;  $P = 0,0086$ ). Pacienti ve skupině samozahřívací přikrývky měli vyšší jícnové teploty peroperačně, vyšší axilární teploty pooperačně a méně epizod pooperačního svalového třesu (1/46 vs. 8/46;  $P = 0,0352$ ). V ostatních sledovaných parametrech nebyly zjištěny signifikantní rozdíly. **Závěr:** Použití aktivní samozahřívací přikrývky zajistilo lepší kontrolu tělesné teploty a snížilo výskyt pooperačního svalového třesu.

The authors declare they have no potential conflicts of interest concerning drugs, products, or services used in the study.

Autoři deklarují, že v souvislosti s předmětem studie nemají žádné komerční zájmy.

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## Key words

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## Klíčová slova

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## Introduction

Hypothermia, defined as a core body temperature  $< 36^{\circ}\text{C}$ , is a common and serious complication that affects 20–70% of patients undergoing surgery [1]. Even mild hypothermia (core temperature of  $34\text{--}36^{\circ}\text{C}$ ) prolongs the duration of action of inhaled and intravenous anesthetics, activity of neuromuscular drugs, increases thermal discomfort, and is associated with delayed post-anesthesia recovery [2,3]. Mild hypothermia increases perioperative blood loss significantly and increases the allogenic transfusion requirement. In addition,  $1.9^{\circ}\text{C}$  core hypothermia triples the incidence of surgical wound infections following colon resection and increases the duration of hospitalization by 20% [2,4,5]. Furthermore, mild hypothermia triples the incidence of postoperative adverse myocardial events [6]. Thus, even mild hypothermia contributes significantly to patient care costs and should be avoided [5].

There are numerous suggested strategies to prevent inadvertent perioperative hypothermia in adults [7–9] based on the presence of risk factors and the extent and duration of surgery. Multiple techniques of intraoperative warming including the use of forced air devices, electric blankets, circulation water mattresses, radiant heat devices, water garments and warmed blankets have been tested clinically in different groups of patients. Currently, active intraoperative warming is recommended for all patients at a high risk for perioperative hypothermia. The use of forced air warming devices in combination with warmed intravenous fluids has been considered a method of choice for intraoperative warming [9]. Preoperative prewarming has been shown to effectively prevent or diminish the extent of perioperative hypothermia [7–9]. Recently, it has been suggested to actively warm patients preoperatively at a ward, if patient's preoperative temperature is below  $36.0^{\circ}\text{C}$ , or at least 30 minutes before induction of anesthesia, if the patient's temperature is  $36.0^{\circ}\text{C}$  or above, unless this should delay emergency surgery [9,10].

Intraoperative warming of patients undergoing spinal neurosurgical procedures in the prone position presents a specific problem. The effectiveness of forced air devices could potentially be diminished due to low covered body surface area. Leakage of warm air in an operating theater environment may lead to substantial thermal discomfort of surgical team members. Although current clinical

evidence is not conclusive [11,12], there is a concern that the use of forced air warming systems increases the risk of surgical site infections [13] by acting as a vector or causing unwanted airflow disturbances. Therefore, surgeons may prefer to avoid using a forced air warming device and use alternative warming strategies.

Resistive heating is a newer warming modality with favorable characteristics such as silent operation, energy efficiency and reusable components in some devices [14]. Depending on local prices, it could be potentially cheaper [9] and might offer advantages in terms of practicality and ease of use with regard to prewarming [15].

The aim of this study was to compare the efficacy of the preoperative, intraoperative and postoperative use of an active self-warming blanket with standard care based on passive insulation techniques in patients scheduled for a clean elective spinal surgery in the prone position with an expected duration of surgery of  $< 2$  hours.

## Patients and methods

This investigator-initiated, single-centre, prospective randomized study was performed at the University Hospital Hradec Kralove. Ethical approval for this study (Ethics Committee no. 201404 S14P) was granted by the Ethics Committee of the University Hospital. (Chairperson: Jiri Vortel, M.D.).

Adult patients scheduled for an elective spinal surgery in the prone position (lumbar laminectomy, hemilaminectomy, foraminotomy, or stabilization of lumbar vertebral fractures) with an expected length of surgery  $< 2$  hours were considered for inclusion in this study. All patients gave consent to participate in the study and filled out a questionnaire regarding thermal comfort satisfaction. All subjects were recruited between October 10, 2013 and May 25, 2014.

The inclusion criteria were as follows: age 18–80 years, elective spinal surgery in the prone position with an expected length of surgery  $< 2$  hours, and an American Society of Anesthesiologists physical status I–III. The exclusion criteria were pregnancy, preoperative temperature  $> 38^{\circ}\text{C}$ , and known hypothyroidism and hyperthyroidism.

After simple randomization (a computer-generated random list of patients in sealed envelopes), patients were assigned to either the control group that received standard perioperative care (warmed infusion fluids, an operating theater temperature of  $22\text{--}23^{\circ}\text{C}$

and the use of cotton blankets), or to the intervention group that received the preoperative, intraoperative, and postoperative use of the active self-warming blanket (Barrier® EasyWarm®, Mölnlycke Health Care, Gothenburg, Sweden). The blanket maintains the mean temperature of  $44^{\circ}\text{C}$  for up to 10 hours, raising skin temperature to a maximum of  $42^{\circ}\text{C}$  [16].

The self-warming blanket was removed from the sleeve 30 minutes before use and the activated blanket was administered to all patients randomized to the intervention group 90 minutes before the scheduled surgery. The blanket was used during transport, surgery, and recovery in a recovery room. Axillary temperature was measured at selected time points preoperatively (120 min, 90 min, and at the time of patient's transfer from a ward to an operating theatre) and postoperatively (upon arrival in a recovery unit and then at 15 minute intervals until discharge to a standard ward). Intraoperatively, body temperature was measured continuously using an esophageal thermometer probe (Aisys, GE Healthcare, Helsinki, Finland) inserted 30 to 35 cm into the distal esophagus after tracheal intubation. Intraoperative temperature was recorded at 5 minute intervals.

All patients were premedicated with oral midazolam (7.5 mg) approximately 90 minutes before the induction of anesthesia. General anesthesia was induced using a combination of propofol and sufentanil. Tracheal intubation was facilitated using  $0.5\text{ mg/kg}$  bodyweight atracurium; no further boluses of muscle relaxants were used during surgery. Anesthesia was maintained with isoflurane ( $0.8\text{--}1\text{ vol } \%$ ) in 50% oxygen in nitrous oxide along with sufentanil boluses. Esophageal temperature probe was inserted in all patients. Warmed intravenous fluids (transfusion and infusion flow through the OTI 1A heating system, RTE, Prague, Czech Republic) were managed according to our standard practice: a baseline fluid intake of  $5\text{ ml/kg/hour}$  was provided using a balanced crystalloid solution (Ringerfundin, B.Braun, Melsungen, Germany). The decision to give fluid boluses, colloids, or blood transfusion was at the discretion of the attending anesthesiologist. Hypotension was defined as a mean arterial pressure (MAP)  $< 70\text{ mmHg}$  or a drop in blood pressure  $> 20\%$  from baseline that lasted  $> 5$  minutes. Norepinephrine was indicated if hypotension persisted for more than 10 minutes; the dose of

norepinephrine was adjusted to maintain MAP > b 70 mmHg.

The recorded variables included age, gender, type and location of surgery, hemodynamic variables (MAP and heart rate), preoperative and postoperative axillary temperature, intraoperative esophageal temperature, blood loss, duration of surgery, use of blood products, postoperative shivering, highest intensity of postoperative pain (expressed as a visual analog scale value; range 0–4), wound infections, and the length of hospital stay.

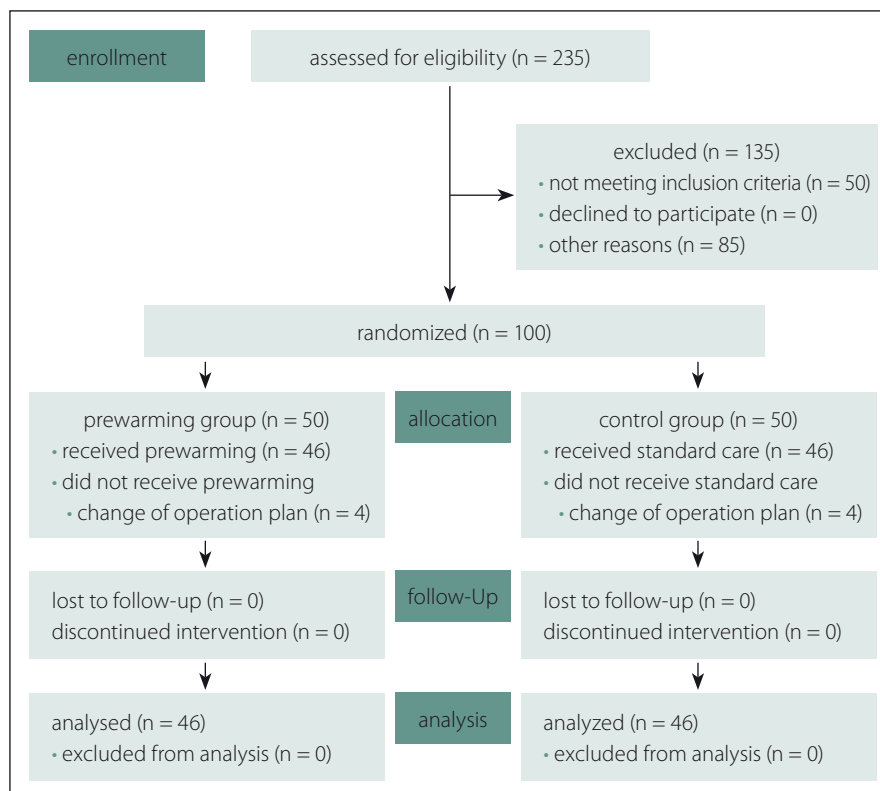
The primary outcome measure was defined as a difference in core body temperature between the two treatment groups during surgery. The secondary outcome measures were the incidence of intraoperative hypothermia, defined as an esophageal temperature < 36 °C, the incidence of postoperative shivering, thermal discomfort, the number of wound infections, and the number of hospital days.

A power analysis based on an  $\alpha$ -error 0.01 and a b-error of 0.1 was performed using MedCalc 7.6.0. (MedCalc Software, Ostend, Belgium). Sample size needed for the independent samples t-test (with an expected difference between groups at the end of surgery of 0.5 °C and a standard deviation of 0.5 °C in both groups) was calculated. This calculation produced a sample size of 62 subjects (31 subjects per group). The sample size was increased to 50 patients per treatment group to compensate for potential dropouts and the possible inaccuracy of the predictions used for power analysis.

Data are presented as means  $\pm$  standard deviations or medians and interquartile ranges (IQR) based on the results of D'Agostino-Pearson tests. Differences between the groups were analyzed using chi-square tests with Yates' correction for continuity (demographic variables) or Fisher's exact test, as appropriate. Independent t-tests were used to compare other results between groups, and Mann-Whitney U-tests were used when the sample distribution was not normal. Time-dependent changes in esophageal and axillary temperatures were evaluated using one way analysis of variance (ANOVA) with Student-Newman-Keuls test for all pairwise comparisons.  $P < 0.05$  was considered to be significant. All statistical analyses were performed using MedCalc 7.6.0.

## Results

A total of 235 patients undergoing elective spinal surgery performed in prone position was

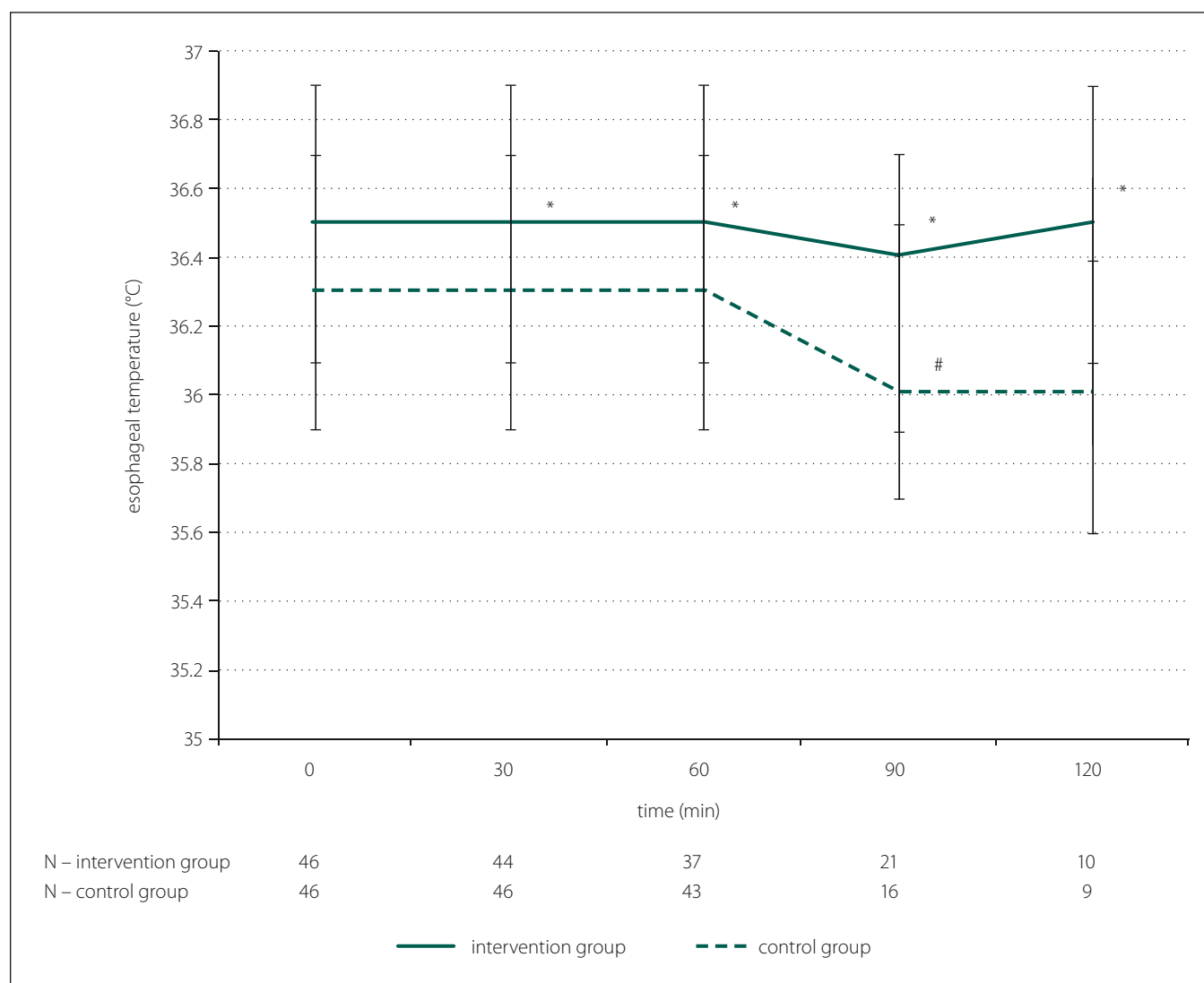


Scheme 1. Patient allocation.

Tab. 1. Baseline patient characteristics.

	intervention group (n = 46)	control group (n = 46)	p-value
age, mean $\pm$ SD	54.0 $\pm$ 14.3	52.9 $\pm$ 16.3	0.7391
gender (M/F), N/N	24/22	26/20	0.8342
weight (kg), mean $\pm$ SD	80.1 $\pm$ 15.8	80.4 $\pm$ 15.1	0.9410
height (cm), mean $\pm$ SD	171.9 $\pm$ 10.3	170.5 $\pm$ 9.7	0.5130
BMI, mean $\pm$ SD	27.4 $\pm$ 4.8	28.4 $\pm$ 14.7	0.6097
<b>Type of surgery, N</b>			
• hemilaminectomy	26	22	0.5315
• foraminotomy	2	6	0.2668
• decompression of a narrow spinal canal	6	2	0.2668
• stabilization	2	4	0.6768
• extraction of metals	3	4	1.0000
• fracture stabilization	4	5	1.0000
• other	3	3	1.0000
<b>Axillary temperature (°C), mean <math>\pm</math> SD</b>			
• ward baseline	36.1 $\pm$ 0.4	36.1 $\pm$ 0.4	0.7435
• ward departure	36.3 $\pm$ 0.4	36.0 $\pm$ 0.5	0.0086

ASA – American Society of Anesthesiology, BMI – body mass index, M – male, F – female, SD – standard deviation, VAS – visual analog score, N – number of patients.



**Graph 1. Intraoperative esophageal temperatures.**

N – number of patients in the group, \* < 0.05 vs control group, # < 0.01 vs 0 min.

screened. After excluding patients with contraindications, those involved in other studies, and those not recruited for logistic reasons, a total of 100 patients was enrolled (Scheme 1).

Four patients in the intervention group and four patients in the control group did not receive treatment due to a change in the operating plan. Baseline data of all the recruited patients and their preoperative axillary temperatures are summarized in Tab. 1. There were no significant differences between the two groups in terms of age, gender, bodyweight, ASA physical status, and type of surgery. The axillary body temperatures were not different at baseline but were significantly higher in the intervention group at the time of departure from a ward to an operating theatre ( $36.3 \pm 0.4$  °C vs.  $36.0 \pm 0.5$  °C;  $P = 0.0086$ ).

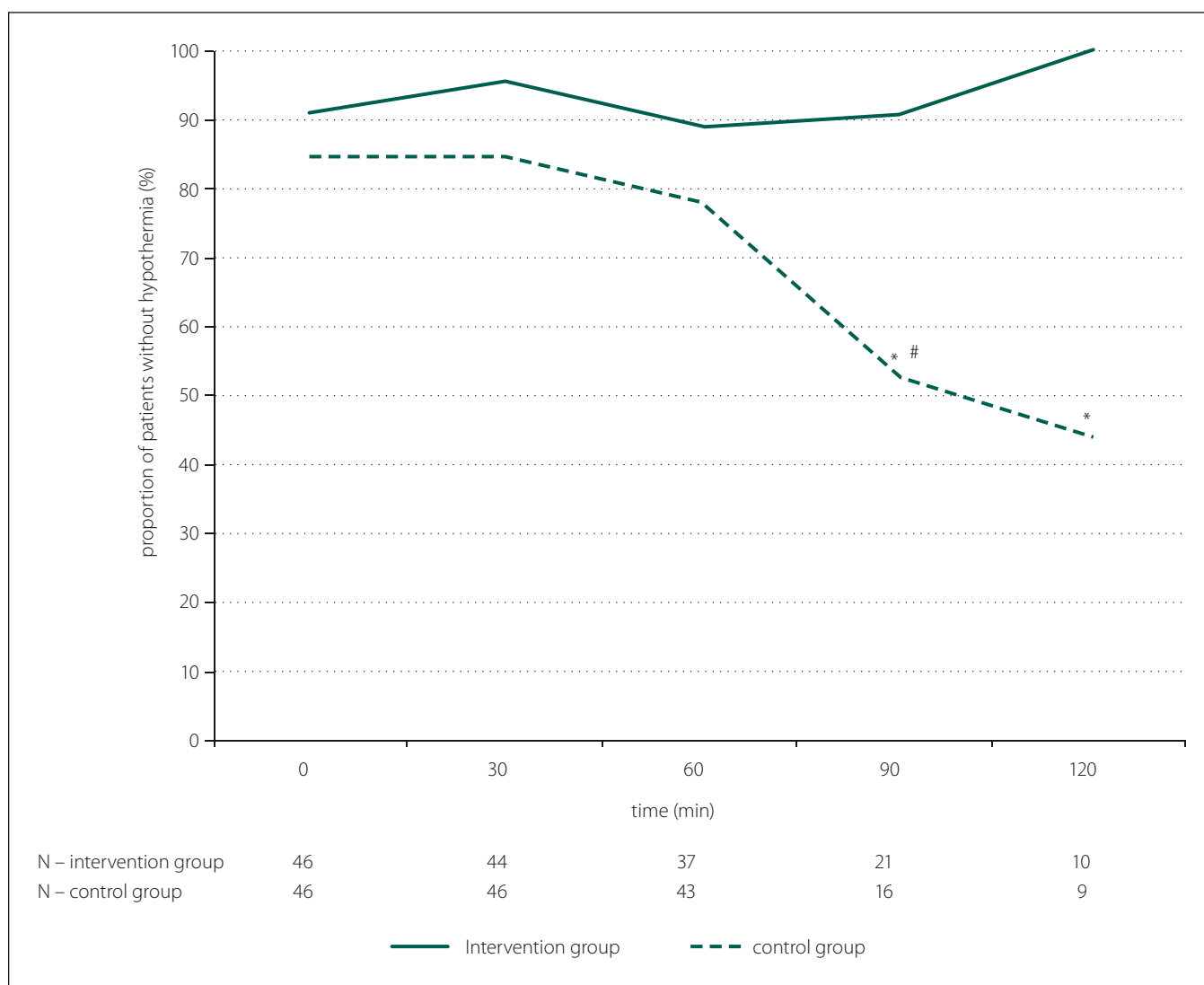
Patients in the therapeutic group had, except at baseline, significantly higher intraoperative esophageal temperatures (Graph 1).

The ANOVA did not reveal any differences between the different times in the intervention group ( $P = 0.996$ ). In contrast, the

**Tab. 2. Postoperative axillary temperatures.**

Time	Intervention group mean ± SD		Control group mean ± SD		p-value
	N	temperature (°C)	N	temperature (°C)	
0 min	46	$35.4 \pm 0.6$	46	$35.2 \pm 0.7$	0.2976
30 min	46	$36.0 \pm 0.5$	46	$35.7 \pm 0.6$	0.0453
60 min	46	$36.3 \pm 0.5$	46	$36.0 \pm 0.5$	0.0093
90 min	46	$36.5 \pm 0.4$	45	$36.2 \pm 0.6$	0.0100
105 min	46	$36.6 \pm 0.5$	43	$36.3 \pm 0.5$	0.0033

N – number of patients.



**Graph 2. Proportion of patients without intraoperative hypothermia.**

N – number of patients in the group, \* < 0.05 vs intervention group, # < 0.01 vs 0 min.

ANOVA revealed a significantly lower esophageal body temperature after 90 minutes of anesthesia in the control group ( $P = 0.006$ ). The proportion of patients with esophageal temperature < 36 °C was significantly higher in the control group after 90 and 120 minutes of anesthesia (Graph 2).

Compared to controls, patients in the treatment group had a similar mean rate of temperature change during the first hour of surgery ( $0.02 \pm 0.27$  vs.  $0.04 \pm 0.33$  °C;  $P = 0.7061$ ) and a lower mean rate of temperature change during the second hour of surgery ( $0.00 \pm 0.10$  vs.  $0.08 \pm 0.13$  °C;  $P = 0.0497$ ).

Patients in the intervention group had higher axillary temperatures in a recovery room (Tab. 2). The result of a post hoc analysis of relationship between BMI and effects of warming is shown in Graph 3.

Compared to the control group, patients in the intervention group had fewer episodes of postoperative shivering (1/46 vs. 8/46;  $P = 0.0352$ ). No significant differences were observed between groups regarding the volume of blood loss, duration of surgery, number of episodes of hemodynamic instability, maximal postoperative pain, number of episodes of cold feeling, satisfaction with thermal care, number of wound complications, and the length of hospital stay (Tab. 3).

### Discussion

The current study demonstrated that the use of an active self-warming blanket provided satisfactory body temperature control during a perioperative phase and decreased the number of episodes of postoperative shivering in patients undergoing elective spinal

surgery in the prone position. The number of wound complications, patient satisfaction with the thermal care, and length of hospital stay were similar between the groups.

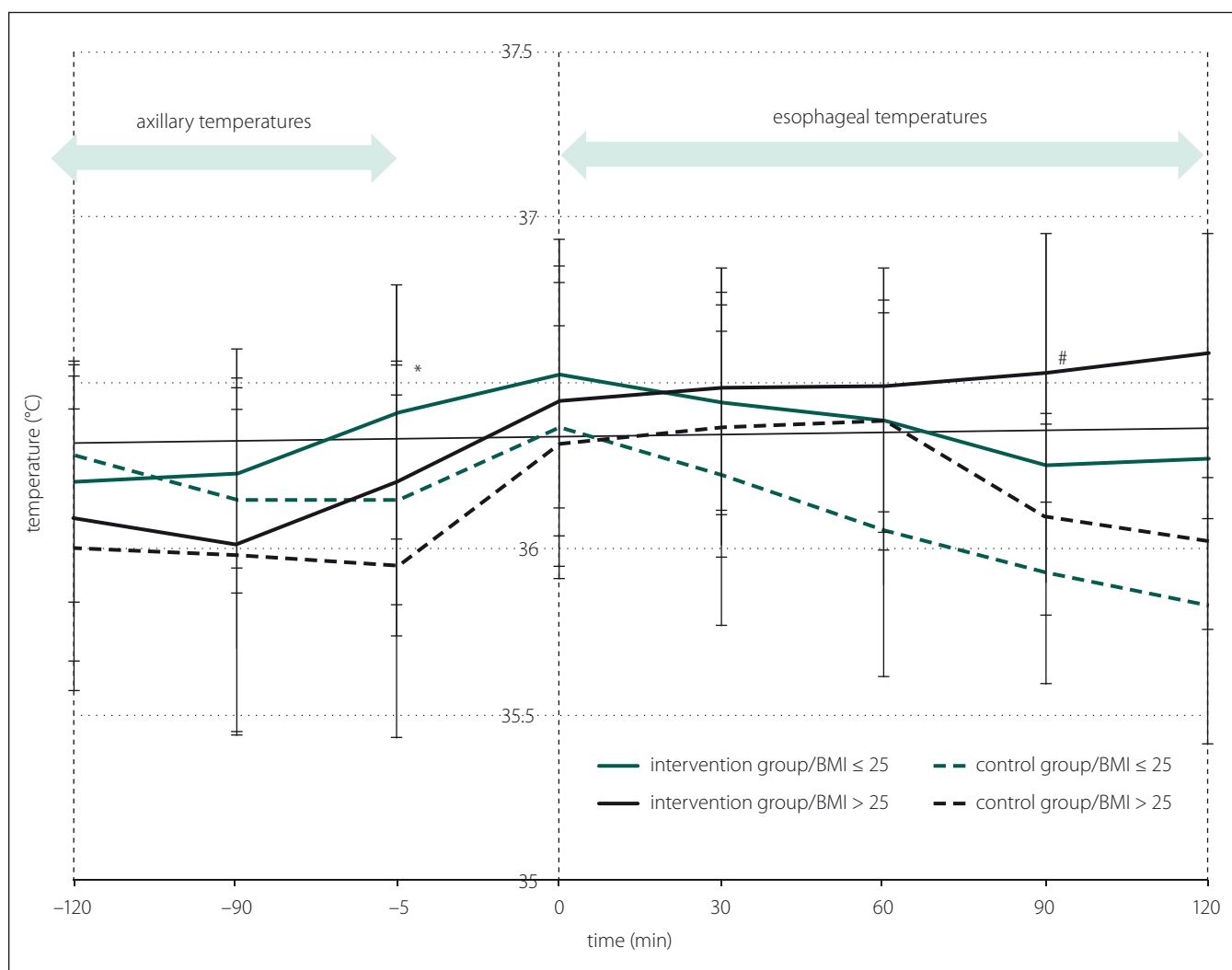
There are a limited number of clinical studies assessing frequency and severity of hypothermia and its prevention in patients undergoing elective spinal surgery that could be compared with this study.

Frequency of hypothermia in patients undergoing spinal surgery was recently published in a study comparing two methods of postoperative warming in a postanesthesia recovery room [17]. The study included patients undergoing elective spinal procedures between 3–6 hours in duration and the observed incidence of hypothermia, defined as tympanic temperature below 35.5 °C, was 16%. Methods of intraoperative

**Tab. 3.** Perioperative and postoperative data for duration of surgery, blood loss, hemodynamic instability, maximal postoperative pain, feeling cold, postoperative shivering, satisfaction with thermal care, wound complications, and length of hospital stay.

	Intervention group (n = 46)	Control group (n = 46)	p-value
duration of surgery (min), mean $\pm$ SD	108 $\pm$ 42	103 $\pm$ 37	0.6146
blood loss (ml), median (IQR)	200 (100; 300)	100 (50; 300)	0.1564
hemodynamic instability (N/%)	7/15.2	8/17.4	1.0000
maximal postoperative pain (VAS score), median (IQR)	3 (2; 3)	3 (2; 3)	0.9813
cold feeling on arrival to OR (N/%)	1/2.2	6/13.0	0.1087
feeling of cold after surgery (N/%)	3/6.5	4/8.7	1.0000
postoperative shivering (N/%)	1/2.2	8/17.4	0.0352
satisfaction with thermal care (N/%)	46/100	43/93.5	0.2404
wound complications (N/%)	3/6.5	3/6.5	0.6728
length of hospital stay (days), median (IQR)	9 (8; 12)	9 (8; 12)	0.7667

VAS – verbal analgesia scale (0 – none, 1 – mild, 2 – moderate, 3 – severe, 4 – very severe), N – number of patients, IQR – interquartile range.

**Graph 3.** Preoperative axillary and intraoperative esophageal temperatures in patients with body mass index (BMI)  $\leq 25$  or  $> 25$ .

\*  $P < 0.05$  vs. control group/BMI  $> 25$ , \*\*  $P < 0.05$  vs. control group/BMI  $> 25$  and intervention group/BMI  $> 25$ , #  $P < 0.05$  vs. control group/BMI  $> 25$  and control group/BMI  $\leq 25$ .

warming were not reported. In our study, we defined hypothermia as esophageal temperature below 36.0 °C. We did not observe any episode of hypothermia in patients of the treatment group after two hours of surgery. Axillary temperature was measured upon arrival to the postanesthesia recovery unit. Axillary temperature correlates well with core body temperature in adults who are normothermic or who have slight fever. However, if the patient becomes hypothermic, peripheral vasoconstriction occurs, making axillary measurements unreliable as the core measurement [18]. Therefore, direct comparison with our results is not possible.

Recently, in a study testing the effectiveness of a newly designed fluid warming kit in patients undergoing spinal surgery, esophageal temperature at the end of surgery was  $35.8 \pm 0.3$  °C in the group of patients with the tested warming kit and  $34.8 \pm 0.3$  °C in a control group [19]. Comparison with our data is limited since the forced air warming device was only used in patients with esophageal temperatures < 35 °C.

Hypothermia and its relationship with blood loss and postoperative complications were previously evaluated in patients undergoing spinal surgery [20] for its possible neuroprotective effects. In that study, patients were not actively warmed until spinal cord decompression was completed, as determined by the operating surgeon; then warming was induced using a forced air-warming device. The nadir temperature was  $35.3 \pm 0.8$  °C and the mean rate of temperature change without warming was 0.87 °C/hour, the temperature at the end of surgery was not reported. On contrary, we observed virtually no decrease in esophageal temperature during the first hour of surgery in both groups. During the second hour of surgery, there was no decrease in esophageal temperature in the intervention group and only a minor decrease in body temperature of ~0.1 °C in the control group. In the majority of clinical studies [15,21,22], redistribution of heat after the induction of anesthesia leads to reduction in core temperature of ~0.3–0.8 °C during the first hour but it can reach up to 1.5 °C [23]. Our observation might be explained by the fact that the patients were kept comfortably warm during the entire preoperative period (i.e. in the ward, during transport to the operating theatre, and at the induction of anesthesia), as recommended by the recent National Institute for Health and Care

Excellence (NICE) United Kingdom guidelines [9]. Prewarming before anesthesia prevents the initial decrease in body temperature by redistributing heat between the core and peripheral body compartment during the first hour of anesthesia [9,23]. During the linear phase of intraoperative hypothermia, radiation is considered to be the most important mechanism of heat loss [9,22,23]. Therefore, a combination of prewarming and intraoperative warming could be more effective than prewarming or intraoperative warming alone [9,23]; this concept was recently confirmed using a novel prewarming system [24] or a self-warming blanket [25].

We compared an active self-warming blanket during preoperative, intraoperative, and postoperative warming with standard passive insulation techniques for perioperative heat loss prevention. In contrast to a previously published study using the same blanket in patients undergoing ear and throat surgery [26] that failed to show any significant benefit of the device compared to passive insulation techniques, the present study examined the use of the device in patients undergoing spinal surgery. We used a longer preoperative warming phase of 90 minutes vs. "at least 30 minutes" and we did not exclude patients with a BMI < 20 or > 30. Other explanations of different findings include longer mean duration of surgery in this study and possibly lower efficacy of passive insulation techniques due to the lower cover body surface area in patients undergoing spinal surgery in comparison to ear and throat surgery.

The present study has several limitations. The study was not blinded during data acquisition as blinding of the investigator and patient was logistically impossible. In addition, different sites were used for temperature measurements intraoperatively and during preoperative and postoperative phases to avoid possible patient discomfort due to temperature measurements. Although sublingual or tympanic temperatures could have been used, they are also associated with significant deviations from the esophageal temperature Stanhope [20] and they are not well accepted by patients in our region.

The number of patients included in the study was also relatively small and did not have the statistical power to show differences in the numbers of postoperative complications.

In conclusion, the present study demonstrated that the use of an active self-warm-

ing blanket provided satisfactory body temperature control during a perioperative phase in patients undergoing elective spinal surgery in the prone position.

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## Nabídka pro partnery České neurologické společnosti

Česká neurologická společnost je odborná vědecká společnost, jejímž cílem je dbát o rozvoj neurologie jako významné lékařské a vědecké disciplíny v České republice a podílet se na zajištění kvalitní pregraduální i postgraduální přípravy lékařů v tomto oboru.

Česká neurologická společnost nabízí své dlouhodobé partnerství farmaceutickým firmám, výrobcům lékařské techniky a dalším potenciálním partnerům v neurologické oblasti.

### Co Vám můžeme nabídnout?

- Uveřejnění partnera na webové stránce České neurologické společnosti [www.czech-neuro.cz](http://www.czech-neuro.cz).
- Uveřejnění partnera v tiskovinách České neurologické společnosti.
- Uveřejnění partnera v elektronickém newsletteru České neurologické společnosti (čtyři vydání/rok).
- Uveřejnění článku/inzerce v elektronickém newsletteru České neurologické společnosti.

Máte-li zájem spolupracovat s Českou neurologickou společností, kontaktujte náš sekretariát na e-mailu [sekretariat@czech-neuro.cz](mailto:sekretariat@czech-neuro.cz). Případně nás neváhejte kontaktovat pro individuální nabídku.